



DG Shipping Guide #33 – 49 CFR
**LITHIUM ION BATTERIES CONTAINED IN
EQUIPMENT**
(Fully Regulated Class 9 Shipment per § 173.185)

Revision Date: 10/24/2025

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49 CFR Shipping Instructions for GROUND Transportation
UN3481, LITHIUM ION BATTERIES CONTAINED IN EQUIPMENT, 9
(§ 173.185 → Fully regulated cells & batteries)

ONLY TRAINED HAZMAT EMPLOYEES MAY SHIP LITHIUM BATTERIES USING THIS GUIDE.

Some batteries are regulated when shipped or offered for transportation. If the battery is restricted, then all applicable hazardous materials regulations must be met. This guide provides information to assist you in how to ship the battery by road.



How can I tell if the battery is regulated?

Batteries normally have the size listed directly on the battery case which will help you identify whether or not it can be shipped without special packaging and package marking; in other words, shipped as non-restricted in transportation.



These instructions have been specifically prepared for the shipment of fully regulated **lithium ion batteries contained in equipment** (i.e., with a capacity exceeding 100 Wh per battery) prepared in accordance with the provisions of the 49 CFR (revised as of October 1, 2025).

DO NOT USE THESE SHIPPING INSTRUCTIONS FOR OTHER BATTERY TYPES.

All employees are responsible for compliance with applicable domestic and international dangerous goods transport regulations. All employees must be dangerous goods trained prior to using this shipping template. All information in this shipping template is accurate through the date of revision and must be validated against the regulations yearly and updated as regulations change.



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DESCRIPTION

Items

(Identify and insert the description of items that may be shipped utilizing this template in this section.)

SHIPPING BY GROUND (49 CFR)

Shipping Location

Transport by Road / Motor Carrier

Shipping Company

(Identify in this section the carriers used and carrier requirements)
(i.e. Motor carriers such as ...)

NOTE

Damaged, defective or recalled cells or batteries, that have the potential of producing a dangerous evolution of heat, fire, or short circuit (including those being returned to the manufacturer for safety reasons) and are offered for road or rail transport must be prepared in accordance with the provisions detailed in §173.185(f).

Batteries meant for disposal or recycling must be prepared in accordance with the provisions in § 173.185(d).

CLASSIFICATION

UN Number & Proper Shipping Name

UN3481, LITHIUM ION BATTERIES CONTAINED IN EQUIPMENT

Battery Testing Data

LITHIUM CELLS OR BATTERIES MUST MEET THE REQUIREMENTS OF EACH TEST IN THE *UN Manual of Tests and Criteria, Part III, subsection 38.3*.

A cell or battery and equipment containing a cell or battery that was first transported prior to January 1, 2006, and is of a type proven to meet the criteria of Class 9 by testing in accordance with the tests in the UN Manual of Tests and Criteria, Third Revised Edition, 2003, need not be retested.

Battery Test Summary

A battery test summary complying with the requirements of the UN Manual of Tests and Criteria, Part III, Subsection 38.3, Paragraph 38.3.3.5 is required to prove all cells/batteries have been properly tested.

Battery test summary NOT required for button cells installed in equipment.

The battery test summary is not a transport document and is not required to be provided as a transport document. However, some carriers may request a copy be provided.

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CLASSIFICATION

Site testing data	<i>(Insert the location of battery testing documentation and /or attached to the shipping instructions)</i>
Special Provisions	181, 360, 388, 422, A54

PACKAGING

Packing Requirements	§173.185
Watt-hour Rating	Lithium ion cell > 60 Wh Lithium ion battery > 300 Wh
Short Circuit Prevention	<p><i>The site must identify and insert information on how this will be done for applicable shipments.</i></p> <p>Secure cells or batteries in the equipment to ensure they do not separate from the equipment during transport. Protect cells and batteries against short circuits (e.g., using tape or other means to secure cell or battery).</p> <p>THE CELLS OR BATTERIES MUST INCORPORATE A SAFETY VENTING DEVICE OR BE DESIGNED NOT TO RUPTURE UNDER THE NORMAL CONDITIONS OF TRANSPORT.</p> <p>Each battery containing cells or a series of cells connected in parallel must be equipped with an effective means, as necessary, to prevent dangerous reverse current flow (e.g. diodes, fuses).</p>
Packaging	<p>No UN specification packaging is required for lithium cells or batteries contained in equipment provided:</p> <ul style="list-style-type: none">• The equipment used is strong and constructed of a suitable material.• The equipment must be secured within the outer packaging and be packed to prevent movement, short circuits, and accidental operation during transport.• Spare batteries are not permitted to be packed with equipment using this guide <i>(see applicable guide for shipping lithium ion batteries packed with equipment)</i>.
Type of Packaging	<i>(Insert the type of packagings to be used. In addition, insert closure instructions or the location of the closure instructions to be used.)</i>

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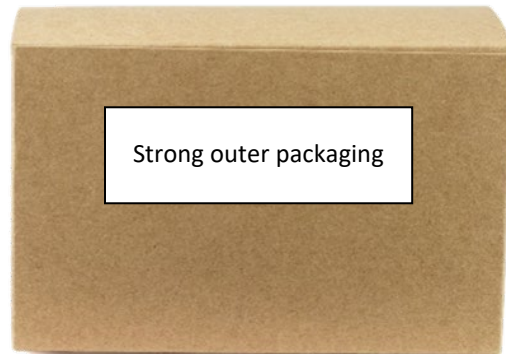
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PACKAGING



Disposal or Recycling

A lithium cell or battery offered for transportation or transported by motor vehicle to a permitted storage facility, disposal site, or for purposes of recycling is not required to meet the testing in the UN Manual of Tests and Criteria, is not required to incorporate a safety venting device, and is not required to be packed in UN Specification packaging if it is protected against short circuits and packed in a strong outer packaging conforming to the requirements of §§ 173.24 (General Requirements for packagings and packages) and 173.24a (Additional General Requirements for non-bulk packagings and packages).

MARKING / LABELING

Marking

Display a shipping label with name and address of shipper OR consignee, and mark the box with the UN number and proper shipping name (PSN):

UN3481, LITHIUM ION BATTERIES CONTAINED IN EQUIPMENT

Labeling

Class 9 Battery Label



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Example of completed package for transportation by road:



DOCUMENTATION

Document Type (see §172.201(a)(1))	No specific form required; specific information required. <ul style="list-style-type: none">• Hazardous materials must be listed first, or• Contrasting color; or• Identified by the entry of an “X” placed before the basic description in the HM column (if a reportable quantity, the “X” may be replaced by “RQ”).
Basic Description (see §172.202)	UN3481, LITHIUM ION BATTERIES CONTAINED IN EQUIPMENT, 9
Quantity and Type of Packaging (see §172.202(c))	Total quantity of material, type of packaging (e.g. 4 Boxes, 20 kg each)

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DOCUMENTATION EXAMPLE

No. of Units & Container Type	HM	DESCRIPTION	TOTAL QUANTITY
4 boxes	X	UN3481, LITHIUM ION BATTERIES CONTAINED IN EQUIPMENT, 9	12 lb
This is to certify that the above named materials are properly classified, described, packaged, marked, and labeled/placarded, and are in proper condition for transportation according to the applicable regulations of the Department of Transportation.			Emergency Response Phone Number:
Signature: <u>Joe Shipper</u> Date: <u>01/01/2026</u>			Contract #

TRAINING REQUIREMENTS

Training Requirements

Hazmat employee training must include the following:

- *General awareness / familiarization training.*
- *Function-specific training.*
- *Safety training.*
- *Security awareness training.*
- *In-depth security training.* Only required for hazmat employees of a person required to have a security plan in accordance with Subpart I of this part who handle hazardous materials covered by the plan, perform a regulated function related to the hazardous materials covered by the plan, or are responsible for implementing the plan.

Initial training: A new hazmat employee, or a hazmat employee who changes job functions may perform those functions prior to the completion of training provided if the employee performs those functions under the direct supervision of a properly trained and knowledgeable hazmat employee. The training must be completed within 90 days after employment or a change in job function.

Recurrent training: A hazmat employee must receive the training required by this subpart at least once every three years. Honeywell requires recurrent training every two years.

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STORAGE

Storage Location & Special Considerations

(Insert storage requirements or special considerations.)

REFERENCES

Code of Federal Regulations	49 CFR Parts 100 to 185; October 1, 2025.
Packing Requirements	§173.185
UN Specification Packaging	Part 178, Subparts L and M
Marking	§172.301
Labeling	§172.400(a)
Documentation	§172.202
Training Requirements	§172.704

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